

White Collar Defense & Government Investigations

Experience &
nimbleness for effective
defense in times of
crisis



**White Collar
Defense &
Government
Investigations
strengths**

Anti-Money
Laundering

Whether you are seeking to

- respond to a regulatory civil investigative demand, grand jury subpoena, or informal regulatory inquiry
- provide formal, on-the-record testimony to a regulatory agency or self-regulatory organization
- investigate alleged internal wrongdoing
- defend against an indictment at the federal or state level

our team can partner with you and guide you step by step.

We work with clients to

- Manage internal investigations in a variety of areas, including securities, product liability, healthcare, and pharmaceutical
- Respond to regulatory inquiries in an efficient manner and in a way that seeks to minimize possible civil and criminal exposure
- Represent them in civil or criminal trials, which can quickly become bet-the-company litigation
- Help manage the internal and external business and reputational risks that come with regulatory scrutiny and bet-the-company litigation

Balanced perspectives from multidisciplinary team

Regulatory inquiries and civil and criminal investigations by federal and state authorities often involve both company reputation issues and significant collateral proceedings. To provide multi-disciplinary representation for our clients, our attorneys in this group work closely with our government advocacy and public policy, business litigation, FDA/life sciences regulatory, and other practice groups.

- Former federal prosecutors, regulators, congressional investigators, and compliance officers
- Former in-house counsel for public companies
- Compliance and regulatory teams across multiple industries to address general and client-specific regulatory issues
- Insights from internal investigations on behalf of the company and the board

Our clients span the range of public and private companies and business sectors

- Fortune 500 companies
- Federal and state regulated companies
- Local, regional, and national financial institutions
- For-profit and not-for-profit healthcare institutions and vendors

Understanding the regulatory landscape and adapting to client needs

Leverage experience in multiple disciplines to help clients navigate an ever-changing regulatory environment and listen/respond to client needs beyond a particular engagement.

Our white collar defense & government investigations team helps you:

- **Assess risks** – in connection with ongoing operations, business decisions, audits, acquisitions, and other internal matters involving regulatory and new service offerings and technology platforms
- **Investigate allegations** – in a comprehensive, informed, and efficient manner
- **Respond to regulators or other government authorities** – formally or informally, through litigation or negotiation
- **Resolve government inquiries** – in an efficient, effective, and discreet manner
- **Design procedures, policies, and practices** – in a manner consistent with the current regulatory and legal requirements with an understanding of the dynamic nature of these environments
- **Stay compliant** – with domestic and international laws governing a multitude of substantive areas

Why Nelson Mullins?

- Deep regulatory, prosecutorial, and investigative experience

- Cases handled by experienced securities litigators, former federal prosecutors, regulators, congressional investigators, and compliance officers.
- Experience across a wide spectrum of industries and business models
- Multi-disciplinary team
- Practical business mindset
- Predictability and cost efficiency